Department of Health and Human Services
Health Resources and Services Administration

Notice of Award
FAIN# H3H00009
Federal Award Date: 06/13/2025

Recipient Information

1. Recipient Name
PENNSYLVANIA STATE UNIVERSITY
110 Technology Center Building
University Park, PA 16802-7000

2. Congressional District of Recipient
15

3. Payment System Identifier (ID)
1246000376A1

4. Employer Identification Number (EIN)
246000376

5. Data Universal Numbering System (DUNS)
003403953

6. Recipient's Unique Entity Identifier
NPM2J7MSCF61

7. Project Director or Principal Investigator
Lisa A Davis
Project Director
lad3@psu.edu
(814)863-8214

8. Authorized Official
Robin B Riglin
osp@psu.edu
(814)865-1372

Federal Agency Information

9. Awarding Agency Contact Information
Bria Haley
Grants Management Specialist
Office of Federal Assistance Management (OFAM)
Division of Grants Management Office (DGMO)
bhaley@hrsa.gov
(301) 443-3778

10. Program Official Contact Information
Jeanene R Meyers
Public Health Analyst
Federal Office of Rural Health Policy (FORHP)
jmeyers@hrsa.gov
(301) 443-2482

Federal Award Information

11. Award Number
5 H3HRH00009-24-00

12. Unique Federal Award Identification Number (FAIN)
H3H00009

13. Statutory Authority
42 U.S.C. § 1395i-4

14. Federal Award Project Title
SMALL RURAL HOSPITAL IMPROVEMENT GRANT PROGRAM

15. Assistance Listing Number
93.301

16. Assistance Listing Program Title
Small Rural Hospital Improvement Grant Program

17. Award Action Type
Noncompeting Continuation

18. Is the Award R&D?
No

Summary Federal Award Financial Information

19. Budget Period Start Date 06/01/2025 - End Date 05/31/2026	
20. Total Amount of Federal Funds Obligated by this Action	$297,853.00
20a. Direct Cost Amount	
20b. Indirect Cost Amount	$43,302.00
21. Authorized Carryover	$0.00
22. Offset	$13,291.00
23. Total Amount of Federal Funds Obligated this budget period	$297,853.00
24. Total Approved Cost Sharing or Matching, where applicable	$0.00
25. Total Federal and Non-Federal Approved this Budget Period	$311,144.00
26. Project Period Start Date 06/01/2023 - End Date 05/31/2028	
27. Total Amount of the Federal Award including Approved Cost Sharing or Matching this Project Period	$962,621.00

28. Authorized Treatment of Program Income
Addition

29. Grants Management Officer – Signature
Karen Mayo on 06/13/2025

30. Remarks

A printer version document only. The document may contain some accessibility challenges for the screen reader users. To access same information, a fully 508 compliant accessible HTML version is available on the HRSA Electronic Handbooks. If you need more information, please contact HRSA contact center at 877-464-4772, 8 am to 8 pm ET, weekdays.

HRSA
Health Resources & Services Administration

Notice of Award
Award Number: 5 H3HRH00009-24-00
Federal Award Date: 06/13/2025

Federal Office of Rural Health Policy (FORHP)

31. APPROVED BUDGET: (Excludes Direct Assistance)

[X] Grant Funds Only

[ ] Total project costs including grant funds and all other financial participation

a.	Salaries and Wages:	$8,557.00
b.	Fringe Benefits:	$3,413.00
c.	Total Personnel Costs:	$11,970.00
d.	Consultant Costs:	$0.00
e.	Equipment:	$0.00
f.	Supplies:	$0.00
g.	Travel:	$0.00
h.	Construction/Alteration and Renovation:	$0.00
i.	Other:	$0.00
j.	Consortium/Contractual Costs:	$255,872.00
k.	Trainee Related Expenses:	$0.00
l.	Trainee Stipends:	$0.00
m.	Trainee Tuition and Fees:	$0.00
n.	Trainee Travel:	$0.00
o.	TOTAL DIRECT COSTS:	$267,842.00
p.	INDIRECT COSTS (Rate: % of S&W/TADC):	$43,302.00
	i. Indirect Cost Federal Share:	$43,302.00
	ii. Indirect Cost Non-Federal Share:	$0.00
q.	TOTAL APPROVED BUDGET:	$311,144.00
	i. Less Non-Federal Share:	$0.00
	ii. Federal Share:	$311,144.00

32. AWARD COMPUTATION FOR FINANCIAL ASSISTANCE:

a.	Authorized Financial Assistance This Period	**$311,144.00**
b.	Less Unobligated Balance from Prior Budget Periods	
	i. Additional Authority	$0.00
	ii. Offset	$13,291.00
c.	Unawarded Balance of Current Year's Funds	$0.00
d.	Less Cumulative Prior Award(s) This Budget Period	$0.00
e.	AMOUNT OF FINANCIAL ASSISTANCE THIS ACTION	**$297,853.00**

33. RECOMMENDED FUTURE SUPPORT:
(Subject to the availability of funds and satisfactory progress of project)

YEAR	TOTAL COSTS
25	$311,144.00
26	$311,144.00

34. APPROVED DIRECT ASSISTANCE BUDGET: (In lieu of cash)

a. Amount of Direct Assistance	$0.00
b. Less Unawarded Balance of Current Year's Funds	$0.00
c. Less Cumulative Prior Award(s) This Budget Period	$0.00
d. AMOUNT OF DIRECT ASSISTANCE THIS ACTION	**$0.00**

35. FORMER GRANT NUMBER

36. OBJECT CLASS
41.51

37. BHCMIS#

38. THIS AWARD IS BASED ON THE APPLICATION APPROVED BY HRSA FOR THE PROJECT NAMED IN ITEM 14. FEDERAL AWARD PROJECT TITLE AND IS SUBJECT TO THE TERMS AND CONDITIONS INCORPORATED EITHER DIRECTLY OR BY REFERENCE AS:
a. The program authorizing statue and program regulation cited in this Notice of Award; b. Conditions on activities and expenditures of funds in certain other applicable statutory requirements, such as those included in appropriations restrictions applicable to HRSA funds; c. 45 CFR Part 75; d. National Policy Requirements and all other requirements described in the HHS Grants Policy Statement; e. Federal Award Performance Goals; and f. The Terms and Conditions cited in this Notice of Award. In the event there are conflicting or otherwise inconsistent policies applicable to the award, the above order of precedence shall prevail. Recipients indicate acceptance of the award, and terms and conditions by obtaining funds from the payment system.

39. ACCOUNTING CLASSIFICATION CODES

FY-CAN	CFDA	DOCUMENT NUMBER	AMT. FIN. ASST.	AMT. DIR. ASST.	SUB PROGRAM CODE	SUB ACCOUNT CODE
25 - 3704132	93.301	23H3HRH00009	$297,853.00	$0.00	N/A	23H3HRH00009

HRSA Electronic Handbooks (EHBs) Registration Requirements

The Project Director of the grant (listed on this NoA) and the Authorizing Official of the grantee organization are required to register (if not already registered) within HRSA's Electronic Handbooks (EHBs). Registration within HRSA EHBs is required only once for each user for each organization they represent. To complete the registration quickly and efficiently we recommend that you note the 10-digit grant number from box 4b of this NoA. After you have completed the initial registration steps (i.e.,created an individual account and associated it with the correct grantee organization record), be sure to add this grant to your portfolio. This registration in HRSA EHBs is required for submission of noncompeting continuation applications. In addition, you can also use HRSA EHBs to perform other activities such as updating addresses, updating email addresses and submitting certain deliverables electronically. Visit https://grants3.hrsa.gov/2010/WebEPSExternal/Interface/common/accesscontrol/login.aspx to use the system. Additional help is available online and/or from the HRSA Call Center at 877-Go4-HRSA/877-464-4772.

Terms and Conditions

Failure to comply with the remarks, terms, conditions, or reporting requirements may result in a draw down restriction being placed on your Payment Management System account or denial of future funding.

Grant Specific Term(s)

1. This action reflects a new document number. Please refer to this number when contacting the Payment Management System or submitting drawdown requests.
2. The award recipient is required to submit an annual progress report within 90 days of the budget period end date. The purpose of this report is to capture the final status of hospitals' activities and expenditures. The Federal Office of Rural Health Policy will provide further details upon receipt of award.
3. This Notice of Award reflects a decrease of approximately 2.2% to $13,528 per hospital. Recipients must revise the FY25 Administrative Report funding amount and activity allocations in DCP within 30 days of this NOA.
4. Carryover of unobligated funds into the subsequent funding period request should be submitted at the same time as the SF-425 FFR or no later than 30 days after the due date of the FFR and must include an SF-424A, line item budget, and narrative justification. The request should provide justification of why the funds remain unobligated and should include details as to how the carryover will be used to complete the previously approved goals and objectives of the program. Unobligated balances should not be requested solely in order to spend down available unobligated funds. Awardees will be notified via a revised NoA if carryover has been approved or via correspondence generated through the EHBs if it has been disapproved.
5. Please be advised that whenever there is a significant project staff change and/or change to the scope of the original project (i.e. project plan goals and objectives, service area, etc.) being proposed, prior approval must be received before a change is made. These proposed changes may or may not involve a change in budgeted line items. Such requests shall be submitted in writing electronically via the Electronic Handbooks Prior Approval Request process.
6. As required by the Federal Funding Accountability and Transparency Act of 2006 (Pub. L. 109–282), as amended by section 6202 of Public Law 110–252, recipients must report information for each subaward of $30,000 or more in Federal funds and executive total compensation, as outlined in Appendix A to 2 CFR Part 170. You are required to submit this information to the System for Award Management (Sam.gov) at https://sam.gov/ by the end of the month following the month in which you awarded any subaward. The FFATA reporting requirements apply for the duration of the project period and so include all subsequent award actions to aforementioned HRSA grants and cooperative agreement awards (e.g., Type 2 (competing continuation), Type 5 (non-competing continuation), etc.). Subawards to individuals are exempt from these requirements. For more information, visit: https://www.hrsa.gov/grants/ffata.html.
7. Grantees in their final budget period, that elect to close out their project or have unsuccessful competing continuation results, will be required to submit a final progress report 120 days following their project period end date. The format will be prescribed by the Federal Office of Rural Health Policy at a later date.
8. By accepting these funds, the grantee is agreeing that the funds will be used to supports eligible small rural hospitals in meeting value-based payment through purchases of hardware, software, and training. The grantee is also certifying that the use of these funds will not be applied to support rural hospitals' COVID-19 response efforts unless approved by HRSA. All post-award requests, such as significant budget revisions or a change in scope, must be submitted as a Prior Approval action via the Electronic Handbooks (EHBs). Failure to adhere to this term through the grant period may be considered non-compliance and make grantee ineligible for future SHIP program participation.
9. It is expected SORHs will collect and retain the Hospital Grant Applications (A Short Form and B Long Form). To ensure program integrity, at any time, HRSA may request the Hospital Grant Applications from a SORH in order to verify the number of hospitals, hospital eligibility, hospital activities, and amount of funding requested.
10. This Notice of Award is issued based on HRSA's approval of the Non-Competing Continuation (NCC) Progress Report. All post-award requests, such as significant budget revisions or a change in scope, must be submitted as a Prior Approval action via the Electronic Handbooks (EHBs) and approved by HRSA prior to implementation. Grantees under "Expanded Authority," as noted in the Remarks

section of the Notice of Award, have different prior approval requirements. See “Prior-Approval Requirements” in the DHHS Grants Policy Statement: https://www.hhs.gov/sites/default/files/hhs-grants-policy-statement-october-2024.pdf

11. The recipient must submit a Non-Competing Continuation Report in advance of the next budget period to ensure satisfactory progress and that continued funding is in the best interest of the Federal Government. The Federal Office of Rural Health Policy will provide further details upon receipt of award.
12. As required in the 45 CFR 75, a non-Federal entity must liquidate all obligations incurred under the award not later than 90 days after the end of the funding period (or as specified in a program regulation) to coincide with the submission of the Federal Financial Report (FFR). This deadline may be extended with prior written approval from the HHS awarding agency.
13. All post-award requests, such as significant budget revisions or a change in scope, must be submitted as a Prior Approval action via the Electronic Handbooks (EHBs) and approved by HRSA prior to implementation. Grantees under “Expanded Authority,” as noted in the Remarks section of the Notice of Award, have different prior approval requirements. See “Prior-Approval Requirements” in the DHHS Grants Policy Statement:https://www.hhs.gov/sites/default/files/hhs-grants-policy-statement-october-2024.pdf.
14. In order to ensure program integrity and appropriate use of Federal funds, grantees are responsible for collecting and maintaining financial records detailing expenditures on behalf of all participating SHIP hospitals. Failure to obtain and retain these records through the grant period may be considered non-compliance and make grantee ineligible for future SHIP program participation
15. Funds may be revised within and between approved budget line items up to 25% of the total approved budget amount. Rebudgeting exceeding the 25% limit is considered significant rebudgeting and requires a prior approval request submitted in the Electronic Handbooks (EHB).
16. HRSA reserves the right to reduce or cease funding if, after receiving technical assistance, the grantee does not show satisfactory performance/progress or fulfill the requirements as outlined in the notice of funding opportunity announcement.
17. Personnel costs are only for the oversight of the award and do not include hospital personnel costs. “Travel,” “Equipment,” “Supplies,” “Construction,” and “Other” are not allowable expenses. SORHs are encouraged to limit indirect costs to the lesser of 15 percent of the amount of the grant for administrative expenses or the state’s federally negotiated indirect rate for administering the grant.
18. The funds for this award are in a sub-account in the Payment Management System (PMS). This type of account allows recipients to specifically identify the individual grant for which they are drawing funds and will assist HRSA in monitoring the award. Access to the PMS account number is provided to individuals at the organization who have permissions established within PMS. The PMS sub-account code can be found on the HRSA specific section of the NoA (Accounting Classification Codes). Both the PMS account number and sub-account code are needed when requesting grant funds. **Please note that for new and competing continuation awards issued after 10/1/2020, the sub-account code will be the document number.**
    You may use your existing PMS username and password to check your organizations’ account access. If you do not have access, complete a PMS Access Form (PMS/FFR Form) found at: https://pmsapp.psc.gov/pms/app/userrequest. If you have any questions about accessing PMS, contact the PMS Liaison Accountant as identified at:
    http://pms.psc.gov/find-pms-liaison-accountant.html
19. Your organization is required to have the necessary policies, procedures, and financial controls in place to ensure that your organization complies with all legal requirements and restrictions applicable to the receipt of federal funding, per HRSA Standard Terms: https://www.hrsa.gov/grants/manage-your-grant/policies-regulations-guidance (unless otherwise specified on your Notice of Award), and Legislative Mandates: https://www.hrsa.gov/sites/default/files/hrsa/grants/manage/grants-policy-bulletin-2022-apr.pdf. The effectiveness of these policies, procedures, and controls is subject to audit.
20. Funding beyond this budget period is contingent upon the availability of appropriated funds for this program, recipient satisfactory performance, program authority, compliance with the Terms and Conditions of the award, and a decision that continued funding is in the best interest of the Federal government.
    This award action is based on HRSA’s approval of the recipient’s application and any modifications at the time of this award. Continued support for this award may be subject to other programmatic considerations to the extent permitted by law, including, but not limited to, Administration priorities and court orders.
    Should additional federal funds not be available and/or shifting priorities affect the programmatic objectives of this award, the recipient will work with HRSA to revise any workplan tasks and budget in accordance with 45 CFR 75.308 (Revision of budget and program plans).
21. By accepting this award, including the obligation, expenditure, or drawdown of award funds, recipients, whose programs are covered by Title IX, certify as follows:
    • Recipient is compliant with Title IX of the Education Amendments of 1972, as amended, 20 U.S.C. §§ 1681 et seq., including the requirements set forth in Presidential Executive Order 14168 titled Defending Women From Gender Ideology Extremism and Restoring Biological Truth to the Federal Government, and Title VI of the Civil Rights Act of 1964, 42 U.S.C. §§ 2000d et seq., and Recipient will remain compliant for the duration of the Agreement.

• The above requirements are conditions of payment that go the essence of the Agreement and are therefore material terms of the Agreement.
• Payments under the Agreement are predicated on compliance with the above requirements, and therefore Recipient is not eligible for funding under the Agreement or to retain any funding under the Agreement absent compliance with the above requirements.
• Recipient acknowledges that this certification reflects a change in the government's position regarding the materiality of the foregoing requirements and therefore any prior payment of similar claims does not reflect the materiality of the foregoing requirements to this Agreement.
• Recipient acknowledges that a knowing false statement relating to Recipient's compliance with the above requirements and/or eligibility for the Agreement may subject Recipient to liability under the False Claims Act, 31 U.S.C. § 3729, and/or criminal liability, including under 18 U.S.C. §§ 287 and 1001.

22. Applicable Regulations – Prior to October 1, 2025, the recipient agrees this award will be subject to 45 C.F.R. Part 75 (Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards), with the exception of eight flexibilities HHS adopted on October 1, 2024, from 2 C.F.R. Part 200. See 2 C.F.R. § 300.1 (Adoption of 2 C.F.R. Part 200). After October 1, 2025, this award will be subject to all applicable provisions of 2 C.F.R. Parts 200 and 300 (Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards).
23. This Notice of Award provides the offset of an unobligated balance in the amount of $13,291.00 from the 6/1/2023 - 5/31/2024 budget period to the current budget period. Please be advised that if the final resolution of the audit determines that the unobligated balance of Federal Funds is incorrect, HRSA is not obligated to make additional Federal Funds available to cover the shortfall.

Standard Term(s)

1. Your organization must have policies, procedures, and financial controls to follow all the General Terms and Conditions. HRSA awards are based on the application submitted and approved by HRSA. All awards are subject to the General Terms and Conditions, in addition to those included in the Notice of Award or referenced in documents and attachments.

Reporting Requirement(s)

1. **Due Date: Annually (Budget Period) Beginning: Budget Start Date Ending: Budget End Date, due 90 days after end of reporting period.**
   The recipient must submit, within 90 days after budget period end date, an annual Federal Financial Report (FFR). The report should reflect cumulative reporting within the project period of the document number. **All FFRs must be submitted through the Payment Management System (PMS).** Technical questions regarding the FFR, including system access should be directed to the PMS Help Desk by submitting a ticket through the self-service web portal (**PMS Self-Service Web Portal**), or calling 877-614-5533.

Failure to comply with these reporting requirements will result in deferral or additional restrictions of future funding decisions.

Contacts

NoA Email Address(es):

Name	Role	Email
Lisa A Davis	Program Director	lad3@psu.edu
Robin B Riglin	Authorizing Official	osp@psu.edu

Note: NoA emailed to these address(es)

All submissions in response to conditions and reporting requirements (with the exception of the FFR) must be submitted via EHBs. Submissions for Federal Financial Reports (FFR) must be completed in the Payment Management System (https://pms.psc.gov/).